Newton Mobility Grants 2018-19 Round 1
Scheme Notes – Malaysia

PLEASE READ THESE SCHEME NOTES CAREFULLY
Any application which is incorrectly submitted will be in ineligible for award

Overview:
Newton Mobility Grants are offered under the Newton Fund, which is part of the UK’s Official Development Assistance (ODA) commitment.

These grants provide support for international researchers based in a country covered by the Newton Fund to establish and develop collaboration with UK researchers around a specific jointly defined research project. These one-year awards are particularly suited to initiate new collaborative partnerships, between scholars who have not previously worked together, or new initiatives between scholars who have collaborated in the past. The awards will also look to initiate the development of longer-term links between Malaysian and UK researchers. Award-holders may subsequently wish to consider applying for other Newton Fund programmes.

Awards might include a range of related activities, although the main purpose of the funding is to cover travel and maintenance costs and so mobility (in the form of visits and exchanges, etc.) should form an integral part of proposals. Visits and exchanges in both directions can be included. Please note, however, that visits by and exchanges of the UK researcher to the partner country must be explicitly for the purposes of providing training and transferring knowledge and skills to help strengthen the research capacity of the partner country. Partnerships involving scholars in the early stages in their career will be looked on favourably.

Objectives of the British Academy Newton Mobility Grants:
The primary aims of the Newton Mobility Grants are to:

- Strengthen the research capacity/capability of, and contribute to promoting economic development and social welfare in, the Newton Fund Partner country - by facilitating training and skill transfer from the UK to partner countries.
- Support excellent research - by linking the best researchers in the UK with the best researchers in partner countries and providing support for collaborative research.
- Establish long term research links - between both partners to ensure sustainable research capacity in partner countries and benefit to the UK research community in the longer term.

Applicants:
Both a Malaysia-based applicant and a UK-based co-applicant are required for this scheme and each must have input into the application. Both applicants must have a PhD or equivalent research experience and hold a permanent or fixed-term contract in an eligible university or research institute, which must span the duration of the project. Collaborations should focus on a single jointly defined research project involving (or led by) the two applicants. Researchers in more than one institution in Malaysia and the UK can be involved in the project. For further details please refer to the ‘Applicant/Co-Applicant Eligibility Details’ section below.

Eligible Newton Fund Partner Countries:
Researchers in Brazil, Malaysia, Mexico, South Africa, Thailand, Turkey and Vietnam are the only Newton Fund countries eligible to apply for the Newton Mobility Grants in this round of the scheme. Please note, costs differ depending on the Newton Fund partner country. Please see the scheme notes for each country for further information on the funding available.
Newton Mobility Grants: Scheme Notes (Malaysia)

In addition, Newton Advanced Fellowships are available for researchers applying from Brazil, Malaysia, Mexico, South Africa, Thailand, and Turkey. Please consult the relevant scheme notes for more information about the Newton Advanced Fellowship scheme.

Subjects Covered:
These awards will support researchers working in any discipline within the social sciences and humanities. The remit of the British Academy funding schemes does not include primarily-practice-based outputs such as in musical composition and performance, visual practice, creative writing and film-making. Such outputs would be considered to fall within the British Academy’s remit only when they form part of an integrated project of critical or historical significance.

Value:
Grants for Malaysia are offered up to a maximum of £10,000 for one year, with half being provided by the British Academy and the other half from the Academy of Sciences Malaysia (ASM). Please note, that the British Academy’s contribution to the award will be paid to the UK co-applicant’s employing institution, with ASM’s half being paid in Malaysian Ringgit to the Applicant’s employing institution. For clarity, a full award of £10,000 will be £5,000 from the British Academy and MYR33,500 from ASM.

Duration of Newton Mobility Grants:
Awards are available for one year.

Transfer of funds:
The British Academy contribution to the award will be paid to the UK institution. Both applicants and their employing institutions will need to agree the most efficient arrangements to transfer the funds to the overseas institution. The additional ASM contribution will be paid to the Malaysian institution.

Timing:
Awards must not start earlier than 01 September 2018 and not later than 30 September 2018. The awards are for a continuous period of one year.

Key Dates:

Application deadline: Wednesday, 14th March 2018, 17:00 (GMT) 
(including two HoD statements of support)

Institutional Approval: Thursday 15th March 2018, 17:00 (GMT)

Results available: Results of the competition will be confirmed approximately 3 months after the application closing date.

Contact Information:
Enquires about this scheme can be made using the contact details below. Before contacting us, please check whether your question is answered by these scheme notes, and read the Frequently Asked Questions on the British Academy’s website. If not, please email: newtonfund@britac.ac.uk or call +44 (0) 207 969 5288.

Applicant/Co-Applicant Eligibility:

a) Malaysia-based Applicant
   - The Malaysia-based researcher (“the Applicant”) must have been awarded a doctorate or have equivalent research experience at the time of application. Applicants working towards a PhD, or awaiting the outcome of their viva/submission of corrections are not eligible to apply.
   - Early career researchers (defined as 0-7 years postdoctoral or equivalent experience) are typically invited to be the Lead Applicant on applications for this programme, however, academics who are at more developed career stage are also allowed to be the Lead Applicant
   - Applicants must be Malaysian citizens and hold a permanent or fixed-term contract in an eligible university or research institute in Malaysia. Applicants who hold a fixed-term contract finishing before the end-date of the
Newton Mobility Grants: Scheme Notes (Malaysia)

- The application should make clear how the proposal intends to strengthen the research capacity/capability of, and contribute to promoting economic development and social welfare in Malaysia.
- Awards might include a range of related activities, but mobility (in the form of visits and exchanges, etc.) should form an integral part of proposals.
- The main purpose of the funding is to cover travel and maintenance (accommodation and subsistence) costs, although costs related to other eligible activities will be considered.
- Visits and exchanges in both directions can be included. Please note, however, that visits by and exchanges of the UK applicant to the partner country must be explicitly for the purposes of providing training and transferring knowledge and skills to help strengthen the research capacity of the partner country. For example, offering short intensive postdoctoral courses related to the research theme in the partner country, supplying teaching elements to courses and developing joint curricula, etc.
- Workshops and seminars can form part of the programme, and involve both staff and postgraduate students.
- Awards including a training element and involving scholars in the early stages in their career will be looked on favourably. However, please note that funding is not available to support international travel for postgraduate students.

Eligible Activities and Costs:

a) Activities

- The awards are suited to initiate new collaborative partnerships where, for example, a research meeting or visit(s) are held in order to build collaborations and develop research questions and hypotheses.
- The application should make clear how the proposal intends to strengthen the research capacity/capability of, and contribute to promoting economic development and social welfare in Malaysia.
- Awards might include a range of related activities, but mobility (in the form of visits and exchanges, etc.) should form an integral part of proposals.
- The main purpose of the funding is to cover travel and maintenance (accommodation and subsistence) costs, although costs related to other eligible activities will be considered.
- Visits and exchanges in both directions can be included. Please note, however, that visits by and exchanges of the UK applicant to the partner country must be explicitly for the purposes of providing training and transferring knowledge and skills to help strengthen the research capacity of the partner country. For example, offering short intensive postdoctoral courses related to the research theme in the partner country, supplying teaching elements to courses and developing joint curricula, etc.
- Workshops and seminars can form part of the programme, and involve both staff and postgraduate students.
- Awards including a training element and involving scholars in the early stages in their career will be looked on favourably. However, please note that funding is not available to support international travel for postgraduate students.
b) Costs:

Grants are offered up to a maximum of £10,000 for one year. £5,000 from the British Academy, and £5,000 from ASM.

The following costs are eligible:

- International and local travel between the UK and Malaysia
- Maintenance (accommodation and subsistence) costs incurred during visits.
- Please note: We require evidence that you have researched the costs for which you are seeking support; for example, you could include the cost per night of accommodation. Travel costs should be based on the most suitable and economical form of travel. Subsistence costs should reflect the normal rates applied at the co-host institution/s
- Visa charges
- Organisation of workshops and seminars to advance the research as part of the approved programme of work
- Consumables (i.e. purchase of datasets, photocopies, microfilms, etc., and any other minor items that will be used up during the course of the project)
- Specialist software (excluding commonly available office packages)
- Costs for language competence building where this is essential to the programme of work and at a modest level
- Postage and printing for visitors.

The following costs are ineligible:

- Overhead costs to the institutions concerned. Please note the funding provided by this scheme is not calculated on the basis of full economic costs
- Costs for travel to or from a third country
- Permanent resources and equipment or any elements that should properly be ascribed to departmental or university overheads
- Computer hardware, including laptops, electronic notebooks, digital cameras etc
- Books and publications
- Postgraduate students travel between the UK and overseas
- Payment as contributions towards or in lieu of salaries or staff costs (including research assistants)
- Replacement teaching costs
- Personal maintenance at home.

Payments and Reporting:
The British Academy contribution of the award will be paid to the UK institution in the first instance. Both applicants and their employing university institutions will need to agree the most efficient arrangements to transfer the relevant funds to the overseas institution. The Applicant must provide a final report at the end of the award via the Flexi-Grant system. For Malaysia, the additional ASM contribution will be paid to the Malaysian institution.

References:
Two reference statements of departmental support are required for the application.

Departmental Support
Under the Departmental Support section, Applicants need to input the email addresses of the following two individuals:

- Head of Department at the Co-Applicant’s UK co-host institution.
- Head of Department at the Applicant’s overseas employing institution.

The Heads of Department are asked to endorse the application and confirm institutional support for the project. Applicants will need institutional support because visits, running workshops, offering components of taught courses etc, involve institutional resources including office space, meeting rooms, and access to facilities amongst other things.

Please note: Applicants and Co-Applicants who hold a fixed-term contract finishing before the end-date of the grant must secure confirmation through their Head of Department Statement that their contract will be extended to cover the duration of the award if their application is successful.
Newton Mobility Grants: Scheme Notes (Malaysia)

The supporting statements must be submitted in English; if this presents a difficulty please contact the British Academy at the earliest opportunity using the contact details at the end of these notes.

If either the Applicant or the Co-Applicant is the Head of Department, an alternative person will have to be found to supply the Head of Department’s statement is required e.g. Head of School/Faculty.

The reference statements must be completed via Flexi-Grant by the application deadline is on Wednesday 14th March 2018, 17:00 (GMT). Late references will not be accepted. Any application with missing statements will be rejected. It is the Applicant and Co-Applicant’s responsibility to ensure that the statements are submitted via Flexi-Grant by the application deadline. Before submitting your application, check that the individuals listed in the HOD statement sections are available and willing to provide references by the stated deadline.

Once an application has been submitted, Applicants are able to check whether references have been submitted by viewing their application on Flexi-Grant.

Assessment criteria:
Successful applications should be strong in all respects but the assessment panel will give consideration to:

- Whether the proposal will support training and development of the Applicant and transfer of new skills to the Applicant’s research group / network.

- The expected benefits for the career development of the Applicant.

- The expected benefits to Brazil, Malaysia, Mexico, South Africa, Thailand, Turkey or Vietnam, in particular the extent to which the award will contribute to the transfer of knowledge and research capabilities which will contribute to advancing economic development and social welfare of Brazil, Malaysia, Mexico, South Africa, Thailand, Turkey or Vietnam.

- The quality of the proposed research project and the track record of the Applicant and the Co-Applicant in the area of proposed research.

- Evidence that the Newton Mobility Grant will lead to a longer - term collaboration beyond the tenure of the award.

Further Funding Opportunities with Newton Fund Partner Countries:
There are other funding opportunities, for other countries, and from other funding bodies under the Newton Fund; please see www.newtonfund.ac.uk for further information.
Guidance on Completion of Application Form

Using Flexi-Grant

Applications can only be submitted online using the British Academy’s electronic Grant Application and Processing (Flexi-Grant) system via https://britishacademy.Flexi-Grant.com. Applications cannot be submitted on paper. If you have not previously used Flexi-Grant, please follow the registration process from the Flexi-Grant homepage. Applicants should be aware that this application form will be considered by appropriate assessors. The application will be treated as confidential at all times.

When completing your application on the British Academy Flexi-Grant® GMS, it is recommended that you take note of the following points:

- **Personal details:** When registered in the British Academy Flexi-Grant® GMS, a user has the option to add or update personal information such as contact details, log-in details (including email address and password), interests, research and employment details, at any time. This represents a personal record of your account in the system, and will help to populate the contact details in any application form you complete. It is useful if this information is kept up to date, but it is not essential to the progress of an application.

- **Automatic log-out:** You are strongly advised to save your work regularly to prevent accidental loss of information. In particular, you should be aware that if the system does not detect any activity for 2 hours it will log out and save the application at that time. Please note that moving between pages within an application form will save the page that you are exiting but completing a field on a page is not considered an activity. It is recommended that you write the text for longer sections/fields in a word processor such as Word and then copy and paste into the relevant text box to avoid being timed out in this way.

- **Multiple sessions:** You should not have multiple browser windows/tabs of your application open at the same time as this may cause information to be lost.

- **Word limits:** When completing boxes that have a restricted length (note that it refers to words) you should note that if you exceed the specified amount you will not be able to save when you press the save button. You should type the text for the longer-length boxes in a word processor. You will then be able to check the word count and paste it into the British Academy GMS. You will then still have a copy of the text to return to in the word processor. The word limit applies to text boxes.

- **Plain text:** If entering plain text, please avoid using symbols as some may not be accepted by the British Academy GMS. You should generate and view a PDF of your application to check that the application appears as you want it to by clicking on print application.

- **Uploading PDF documents:** When uploading PDF documents, please add your name and a heading at the top of every page to show what the document is. Please avoid uploading documents containing illustrations with fine details or colour as this can cause problems when creating a PDF of the application. Please note we will only print your applications in black and white. Each PDF cannot exceed 3 Mb in size.

- **Email addresses:** The British Academy GMS relies heavily on automatic email contact. It is essential that you ensure you enter accurate email addresses where requested as it may cause considerable delay in the submission/processing of your application if any of these are incorrect. You cannot make changes to email addresses after your application has been submitted for approval.

- **Submission:** You will not be able to submit your application until you have completed each section in full. It is your responsibility to ensure that your application is submitted in sufficient time for it to be approved by the UK organisation. We strongly advise that you submit your application as early as possible to allow enough time for your HoD to provide their reference in the system and to allow the designated approver at the UK Co-Aplicant’s employing organisation at least 5 working days before the closing date to allow for the organisation’s administrative procedures. Please note that the approving department of the UK university is a central university department. Late applications will not be accepted.

- **Application sharing:** All applications must be started by the Applicant who is to be the Principal Investigator (PI) on the award. You can invite other contributors to join the application (e.g. Co-Applicant, Head of Department, Finance Office contact etc.), but in order to do so your contributor will need to be registered in the British Academy’s Flexi-Grant® system first. You will need to ensure you have their registered email address to invite them to participate in your application. You can let other British Academy GMS users view your draft application, in advance of submission, by providing their email address and entering the permissions you want them to have. They will be able to log in using their existing password and see your application and depending on permissions, amend your application.
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- **Application deletion:** You can delete your application at any time although it is often a lot easier to just re-edit your existing application. We will be able to recover a deleted application for a period of 7 days after deletion. After this, it will be permanently removed from the system.
- **Application returned for editing** The approver can return your application to you for further editing see 'Submission of Application' below.
- **Guidance:** In the tables below you will find in the left-hand column each question as set out in the application sections and in the right-hand column useful guidance on its completion.
- **Further clarification:** If any of this advice is unclear, or you need further information, please do not hesitate to seek clarification from the British Academy’s Research Awards Team (contact details at the end of these notes).

PLEASE NOTE THAT IT IS ESSENTIAL THAT YOU CREATE A PDF OF YOUR COMPLETED APPLICATION (by clicking ‘Print Application’), AND CHECK IT THOROUGHLY, INCLUDING EMAIL ADDRESSES AND UPLOADED PDF FILES, BEFORE SUBMITTING IT FOR APPROVAL BY YOUR CO-HOST ORGANISATION. IT MAY NOT BE POSSIBLE TO RECTIFY MISTAKES IN TIME FOR THE DEADLINE.

<table>
<thead>
<tr>
<th>WORD LIMITS APPLY TO PLAIN TEXT ONLY. PAGE LIMITS APPLY TO PDFs ONLY</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALL FIELDS MARKED WITH AN ASTERISK* ARE MANDATORY</td>
</tr>
<tr>
<td>YOU SHOULD NOT HAVE MULTIPLE BROWSER WINDOWS/TABS OF YOUR APPLICATION OPEN AT THE SAME TIME AS THIS MAY CAUSE INFORMATION TO BE LOST. ONLY ONE USER SHOULD EDIT AN APPLICATION AT A TIME, OTHERWISE CHANGES MIGHT BE LOST.</td>
</tr>
</tbody>
</table>

**Completing the application form:** The application can be completed by using the navigation tabs on the application summary page, with each page categorised as follows:

- Page 1: Eligibility Criteria
- Page 2: Lead Applicant Details
- Page 3: Lead Applicant Career Summary
- Page 4: Co-Applicant Career Summary
- Page 5: Research Proposal
- Page 6: Financial Details
- Page 7: Equal Opportunities
- Page 8: Lead Applicant HOD Statement
- Page 9: Co-Applicant HOD Statement

**SUMMARY**

| Summary table | When your application form is complete, all sections on this summary table will be marked as 'Complete'. The 'Complete' status will only appear when all the mandatory fields in that section have been completed. All mandatory fields are marked with an asterisk *. Only then will a ‘Submit’ button appear for you to be able to submit your application. |

**PAGE 1: ELIGIBILITY CRITERIA**

*PLEASE NOTE:* in this section you must answer “Yes” to all questions excluding Nationality in order to be eligible for this scheme.

**IF AN APPLICATION HAS NOT BEEN APPROVED BY THE APPROVAL DEADLINE IT WILL NOT BE ELIGIBLE FOR CONSIDERATION**

| HSS Subject Area * | Your application must be relevant to the humanities and social sciences and you will need to confirm that your research is in a related subject area. |
### Newton Mobility Grants: Scheme Notes (Malaysia)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>**PhD Confirmation *</td>
<td>You must have a PhD in order to be a Lead Applicant on this programme.</td>
</tr>
<tr>
<td>**Newton Fund Eligible Organisation *</td>
<td>You must be based at an organisation which is located in a Newton Fund eligible country (in this case Brazil, Malaysia, Mexico, South Africa, Thailand, Turkey or Vietnam) to be eligible for this scheme.</td>
</tr>
<tr>
<td>**UK-based Co-Applicant *</td>
<td>You must have a UK-based Co-Applicant in order to be eligible for this programme.</td>
</tr>
<tr>
<td>**ODA Statement *</td>
<td>It is important that you have read and understood the Official Development Assistance (ODA) statement; the weblink to this statement is included in the programme guidance notes.</td>
</tr>
<tr>
<td>**Nationality *</td>
<td>Please select your nationality from the drop-down menu. Up to 3 nationalities may be added. Please note that this is a mandatory field.</td>
</tr>
</tbody>
</table>

### PAGE 2: LEAD APPLICANT DETAILS

**This section should be completed by the NON-UK based Applicant**

<table>
<thead>
<tr>
<th>Lead Applicant Contact Details *</th>
<th>Please take care to review and complete your personal details accurately. Errors in this section can cause difficulties in the processing of your application.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>You can update your personal details by checking ‘my account’ and selecting the link to ‘my contact details’ and ‘my organisation’ and ensuring the relevant details are up to date.</td>
</tr>
<tr>
<td>**Place of Ordinary Residence *</td>
<td>Please enter the country in which your present employing institution is based - note this must be Brazil, Malaysia, Mexico, South Africa, Thailand, Turkey or Vietnam.</td>
</tr>
<tr>
<td>**UK Co-Host *</td>
<td>Please select the UK University or research organisation that you will be collaborating with on this application.</td>
</tr>
<tr>
<td>**Names of Co-Applicant on this application *</td>
<td>Please enter here the name of the academic based in a UK co-host institution, who will be added as the Co-Applicant to the application. There must only be ONE Co-Applicant per application. Other participants, whose involvement does not equate to being a ‘Co-Applicant’, should be named in the relevant section (other participants) on the Proposal tab. Please note: your Co-Applicant will need to be registered in the Flexi-Grant system before you begin your application.</td>
</tr>
</tbody>
</table>

### PAGE 3: LEAD APPLICANT CAREER SUMMARY

| Statement of qualifications and career *         | Please give details of up to 4 qualifications in reverse chronological order. Please also provide details of any significant career breaks (for example maternity or sick leave), including dates, in the personal statement box – see below. Please include start and end dates in the ‘Qualification date’ field. Please include the position title and institution in the ‘description’ field. |
Newton Mobility Grants: Scheme Notes (Malaysia)

<table>
<thead>
<tr>
<th>Present Appointment, Employing Institution, Present Department, Permanent Position and Start / Position End Date*</th>
<th>The depth of information you provide is up to you, but this will represent you under assessment and so it is worth including as much good evidence for your academic merit as possible. Please note that the “Present Employing Institution” must be in Brazil, Malaysia, Mexico, South Africa, Thailand, Turkey or Vietnam and you should be employed by this institution for the duration of the award. Please state when your present appointment started and is expected to finish. If an end date is not applicable as you are in a permanent position, please leave the end date blank and choose ‘yes’ in response to question ‘Is this position permanent?’</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal Statement</td>
<td>Applicants are invited to include any information relating to their professional career which they wish to be taken into account in assessing this application. This field can also be used to explain any career breaks, part-time contracts, or eligibility for Applicants who do not possess a PhD but may have equivalent research experience. Applicants who are not nationals of the countries from which they are applying should explain what ties they have to the country and whether these ties are of long duration. This is an optional field and the limit is 150 words including spaces.</td>
</tr>
<tr>
<td>PhD Confirmation and Award Date *</td>
<td>Applicants working towards a PhD, or awaiting the outcome of their viva/submission of corrections are not eligible to apply. Please therefore confirm that you have a PhD by answering yes. If you are an established scholar with relevant equivalent experience, but no doctorate, please select ‘no’ and indicate in the ‘personal statement’ field why you should be eligible for consideration. Please also include start and end dates in the ‘PhD Awarded Date’ field.</td>
</tr>
<tr>
<td>Publications *</td>
<td>Please list principal and/or relevant publications (to a maximum of six) in reverse chronological order. You should choose not only the best but also those most relevant to the application to support your case.</td>
</tr>
<tr>
<td>Previous support</td>
<td>Please give details of any research funding received within the last five years, including current funding. Please provide dates, title/description, source, and amount awarded. Please note duplicate applications for the same purpose to more than one British Academy scheme will not be accepted. An application will not be eligible for consideration if there is a report outstanding on any previous research grant awarded by the British Academy to the Applicant.</td>
</tr>
<tr>
<td>Curriculum Vitae Upload</td>
<td>Please upload your current Curriculum Vitae to the Flexi-Grant® GMS.</td>
</tr>
<tr>
<td>Where did you hear about this scheme?</td>
<td>Please indicate where you heard about this scheme.</td>
</tr>
</tbody>
</table>

**PLEASE NOTE:** In order to be eligible for this scheme you must have identified a UK Co-Applicant, who should be an academic based in an eligible institution located in the UK. Details of just ONE Co-Applicant should be entered for this scheme and you must ensure that a Co-Applicant has been added to the application and that all Co-Applicant tabs (Co-Applicant Personal Details and Co-Applicant Career Summary) have been completed before submitting your application. Any applications with blank Co-Applicant tabs will not be processed.
**Specify Co-Applicant and add personal details and career summary / CV **

Your Co-Applicant will need to have an existing account in the system before you are able to add them to the application. If they do not have an account, they will need to register themselves on Flexi-Grant before you are able to complete this section.

Please click ‘Add Co-Applicant’, enter the Co-Applicant’s Flexi-Grant registered email address and then click ‘Next’. Confirm the user by clicking ‘Next’ then select the permissions you wish the sharer to have with your application and then click ‘Finish’.

Please note that you must assign them at least some permissions in order for the Co-Applicant function to work, and at least access to ‘Co-Applicant Personal Details’ ‘Co-Applicant Career Summary’ and ‘Proposal’; it is up to you whether to allow them access to other sections. It is possible to go back each step by clicking ‘Previous’.

Once you have clicked on the ‘Finish’ button, your Co-Applicant will be able to view your application and, depending on permissions, amend and submit your application.

If you choose to do this, the sharer needs to click on My Applications once they have logged on to see your application.

The Co-Applicant can then complete the Co-Applicant tabs themselves, or can provide you with the relevant information to do so.

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**PAGE 5: RESEARCH PROPOSAL**

<table>
<thead>
<tr>
<th>Subject Area*</th>
<th>Please select the Subject Area from the drop down menu that is most relevant to this proposal. Please note that assessors are allocated according to the Subject selected by you.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title of Research Proposal</td>
<td>Please give the title of your proposed project.</td>
</tr>
<tr>
<td>Abstract *</td>
<td>Please provide a short abstract summarising your proposed research in terms suitable for an informed general audience, not one specialised in your field. <em>This is a mandatory field and the limit is 150 words</em></td>
</tr>
<tr>
<td>Proposed Programme *</td>
<td>Please give a detailed description of the research programme, including methodology. Applicants should be aware of the importance that assessors place on the viability, specificity and originality of the research programme and of its achievability within the timescale, which should be specified in the Plan of Action. <em>This is a mandatory field and the limit is 1500 words</em></td>
</tr>
</tbody>
</table>
| Plan of Action * | Please indicate here a clear timetable for your research programme. 
Try to be as realistic as possible, but keep in mind that research programmes will develop over time and this plan of action is not something that is expected to account for every minute and is not unchangeable. But your chances of award will be affected by the assessors' perception of how viable and realistic this plan is. *This is a mandatory field and the limit is 800 words* |
| Planned research outputs/plans for publication/dissemination * | Under ‘planned research outputs’, please only state the type of output expected (for example monograph(s), journal article(s), book chapter(s) report(s), guidance notes, teaching modules/resources, websites and digital resources, etc). Please give more detail about potential publishers etc under plans for publication/dissemination. *This is a mandatory field and there is a limit of 300 words for planned research outputs and 500 words for plans for publication dissemination* |
| Digital Resource | It is a condition of the award that digital resources created as a result of research funded by the Academy be deposited in an appropriately accessible repository. Applicants should ensure that any necessary technical advice is obtained before commencing work that involves the creation of digital resources. |
| Starting Date / End date * | To select a date in the future using the calendar feature, click on the month and year in between the two arrows at the top of the box. To change the year keep clicking on the box – a second click will produce a decade. Use the arrows to move back or forward in time. To change the month, a second box will appear where you can select the month you wish and then you can type the year you require in the box provided. Click on the date and the calendar will take you to the month and year you have selected where you can select the relevant date for display. Please note that awards for Brazil, Malaysia, Mexico, South Africa, Thailand, Turkey or Vietnam must be held over a continuous period of 12 months. The start date |
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**Proposed Co-Host Institution**
Please indicate here your choice of Co-Host UK institution, including the appropriate Faculty, Department, Research Institute or College where you propose to work.

**Reason of Choice of Co-Host Institution**
Please explain the reason for your choice of UK Co-Host institution (the university/research institute, department, Co-Applicant).

**Language Competence**
Please state your standard of English in reading, writing and speaking. Please use the terms bilingual/fluent/good/moderate/basic to describe your level of English in reading, writing and speaking.

**Other Participants / Role of other participants**
The application only allows for one Applicant and one Co-Applicant but you should use this section to list any other participants such as members of each group or network.

Under ‘Other participants’ please give the names and positions of any other participants such as postdocs or PhD students. If detail are not known yet, please indicate numbers and status of people who might be involved. Please describe the contribution to the project to be made by other participants and what they are expected to bring or gain from the process.

**Ethical Issues**
It is expected that most ethical issues will be covered by standard codes of practice. It is only necessary to indicate here in detail any non-standard issues. Please note the Academy’s ethics policy described in its Code of Practice. Approval to undertake the research must be granted by the relevant authority before any work requiring approval begins.

**Benefits to individuals / institutions**
Describe clearly the planned outcomes and any potential benefits that will result from the proposed award for the Applicant, the Co-Applicant, and the respective groups/networks and institutions. In particular please comment on how the award will benefit the career development of the Applicant and contribute to the transfer of knowledge and research capabilities, the added value of the collaboration, and any intentions for sustaining the partnership in the longer term. Maximum 550 words.

**Benefits to Overseas Country**
The benefits to the Newton Fund partner country is a critical aspect of the Newton Mobility Grants scheme. Describe any potential benefits to the Applicant’s home country that will result from the proposed award, especially how the research will contribute to advancing economic development and social welfare of the country to benefit the wider society. Maximum 600 words.

**Benefits to UK**
Describe any potential secondary benefits to the UK and UK research that will result from the proposed award. Maximum 300 words.

**Training Programme**
Training and career development is a critical aspect of the Newton Mobility Grants. The training programme is intended for the benefit of the overseas Applicant and their research network. Please provide a breakdown of, and justification for, the funding requested for this training programme, outlining its relevance and suitability. Please also include the following points: a) who will deliver and who will receive the training? b) what are the expected outcomes? c) what is the structure of the training programme (e.g. courses, training modules, specific activities)? Maximum 1000 words.

**ODA Justification Statement**
Please provide an ODA justification statement. You should consider using the following questions when preparing this statement:

1. Which country/countries on the DAC list will directly benefit from this proposal and are these countries likely to continue to be ODA eligible for the duration of the research?

2. How is your proposal directly and primarily relevant to the development challenges (Sustainable Development Goals) of these countries?

3. How do you expect that the outcome of your proposed activities promote the economic development and welfare of a country or countries on the DAC list / What are the Pathways to Impact?
PAGE 6: FINANCIAL DETAILS

**Financial Details**

Applicants should prepare accurate costings for the proposed expenses, and should be particularly careful not to overestimate the resources required.

Please note that grants are cash-limited, and there is no scope for supplementation of an award. Projects should be fully costed from the outset. However, requests to carry forward underspend from year one will be considered.

**Justification **

Please give a full justification for all costs. Please ensure that justification is broken down by budget category for years 1 and 2.

**Applications to Other Funding Bodies**

Please provide details of other support given or applied for in connection with the current proposal.

If you do not have any applications submitted with other funding bodies please enter “None” in this section.

PAGE 7: EQUAL OPPORTUNITIES

**Equal Opportunities**

This section is optional; however, the Academy would greatly appreciate it if you can complete the details to assist us in our diversity monitoring.

The British Academy is committed to its policy of Equal Opportunity in the provision of its grants and awards. Please help us to monitor the effectiveness of this policy by providing information concerning your age, gender, ethnic origin and disabilities (if any).

This information will be kept separately from the rest of your application and will not be seen by those involved in making decisions in the selection process.

PAGE 8: LEAD APPLICANT HOD STATEMENT / PAGE 9: CO-APPLICANT HOD STATEMENT

All applications for Academy grants are considered in the light of Head of Department (HoD) comments. No application will be considered without the required number of HoD statements, which must be received by the specified deadline.

Please note that this scheme requires two Head of Department statements.

No two references provided under the departmental support tab can be from the same person. If this is the case then it may make the application ineligible, and alternative HoD will need to be provided in accordance to the guidelines.

Completion of Heads of Department tab:

- To add a Departmental support (HoD) email address click on “Add HoD” link, enter the email address and select the “check email” button
- If the HoD’s details appear, it means that they have an existing Flexi-Grant account under the email address entered. If so, select “contact now”, adding whatever personal message you wish which will be added to the automatic Flexi-Grant message, followed by “save” in order to attach the HoD to the application.
- If a form appears prompting you to enter the email address again and other details, it means that they do not have an existing Flexi-Grant account. You will therefore need to create an account for them. To do this, enter their details and then select “contact now”, followed by “save” in order to attach each HoD to the application.
- If you do not select “contact now” the details of the HoD will not be attached to the form. Please note that we do strongly advise that all candidates select the “contact now” button when invited to do so. This will send
a message to your HoD alerting them to the fact that they have been asked to supply a reference for you, and explaining the next steps needed to be taken to do so.

**PLEASE NOTE:** It is vital that you enter the correct email address for your HoDs as upon your application being approved by the co-host UK organisation, emails are sent automatically from Flexi-Grant to these individuals at the email addresses supplied on the application form with login details, and instructions for viewing the application and supplying the reference through Flexi-Grant. It is advisable, before adding a HoD, to check whether your chosen HoD, has an account in the Flexi-Grant system, and the email address associated with this, to ensure that you do not create multiple email accounts for an existing user which will cause confusion.

**PLEASE NOTE:** HoD references must be provided through the Flexi-Grant system. They cannot be provided as an email attachment nor sent by post in hard copy. Please bear this in mind when selecting a HoD for your application and ensure that you nominate a HoD with an active email address and who is happy to provide a reference through an online system. If, after you have submitted your application, you find that your HoD is no longer able to provide a reference, please contact the British Academy to nominate an alternative signatory.

It is the responsibility of the Applicants to:
- contact HoDs outside of the Flexi-Grant system to ensure that they have received details of their Flexi-Grant login and instructions for supplying their statements through Flexi-Grant. While the Flexi-Grant system is programmed to contact HoDs automatically, Applicants should not rely solely on the electronic system to do so
- ensure that references are supplied through Flexi-Grant by the specified deadline
- keep track of the progress of references by viewing your Application Summary, accessed by clicking “Manage” on the “My Applications Section”; by doing this you can view whether a reference has been completed or is still pending
- remember that applications without Head of Department statements will not be eligible for consideration

It is advisable that you send your HoDs a copy of Appendix 1 (found at the end of these notes).

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**Departmental Support**

The two Heads of Department should be based at the principal Applicant’s overseas employing institution and the Co-Applicant’s UK employing institution (the UK co-host institution).

The Heads of Department are asked to endorse the application and demonstrate institutional support for the project. The statements must be written in English.

If the Applicant’s Head of Department is also their current mentor an alternative person will need to be found to supply the Head of Department’s statement is required, e.g. Head of School/Faculty.

If the Co-Applicant is also the Head of Department at the UK organisation, an alternative to supply the Head of Department’s statement is required e.g. Deputy Head of Department or Head of School.

Heads of Department are contacted automatically by the Flexi-Grant system with full instructions about how to provide their reference in the system after the application has been submitted and approved by the UK Co-Host organisation.

Please note: Applicants/Co-Applicants who hold a fixed-term contract finishing before the end-date of the grant must secure confirmation through their Head of Department Statement that their contract will be extended to cover the duration of the award if their application is successful.
THE ACADEMY WILL ONLY PROCESS COMPLETE, SUBMITTED AND APPROVED APPLICATIONS

SUBMITTING AN APPLICATION

Once you have submitted your application for approval by the UK Co-Host organisation (i.e. the organisation which employs your UK-based Co-Applicant), automatic emails will be sent to the UK Co-Host organisation approver asking them to log on to the system. You will not be able to edit your application after it has been submitted to the UK Co-Host organisation for approval. The approver will either: approve and submit your application, ‘send back’ your application and contact you to request modifications, or decline your application and contact you.

If the UK Co-Host organisation approver requests modifications through the Flexi-Grant email facility, they can unlock your application, allowing you to edit it. They can do this by selecting ‘Send Back’. An automatic e-mail will be sent to you alerting you of this fact. Once you have completed and saved the requested changes, please re-submit your application for approval by your employing organisation.

Once the UK Co-Host organisation has approved your application and submitted it to the British Academy it will not be possible to make any changes.

It is recommended that you check that your application has been approved in time. To see the details of the UK co-host organisation approver and to check the status of your application, you should log into Flexi-Grant and click on the link to the Application Summary.

Once your application has been submitted and approved, and your references have been received, you will not be contacted again by the British Academy until the final award decisions have been made, and you will not be required to do anything further in the Flexi-Grant system until that point. You can track the progress of your application by monitoring the Lifecycle Stages; to do this click “Manage” in the “My Applications” section. Please note that the eligibility stage in the lifecycle stages is an internal process and you do not need to do anything for this. PLEASE NOTE: You will receive notification of the outcome of your application by email outside the Flexi-Grant system.
Appendix 1

Guidance for HoD Statement Providers on Flexi-Grant

If you have been detailed as a HoD statement provider by an Applicant for a Newton Mobility Grant, this reference should be provided electronically via the British Academy’s Flexi-Grant (electronic Grant Application and Processing) system - https://britishacademy.Flexi-Grant.com

Once the Applicant has submitted their application you will be contacted by the system requesting your statement of support. HoD statements must be submitted by Wednesday, 14 March, 2018, 5pm, (GMT).

Obtaining your log in details:
Your login details will be dependent on the email address that the Applicant provided for you in their application. If you have never used Flexi-Grant before, an account will have automatically been generated for you using the email address supplied by the Applicant. If you have forgotten your password, do not know your password or are using Flexi-Grant for the first time, click the ‘Forgotten Password?’ link and enter the email address supplied by the Applicant in the application (Please contact the Applicant directly if you are unsure of the email address provided). An email containing a link to reset your password will be sent to you. Click on the link to set/reset your password. (Knowledge of previous passwords is not required.) Please note that the email link is time limited and will become inactive after 30 minutes of being requested. Therefore please only click on the ‘Forgotten Password?’ link when you are able to complete the process within 30 minutes.

Please note that your login details can also be used in future for any other tasks and are not just relevant to this reference.

Please note: The email address is the unique identifier on Flexi-Grant, so it is important that you always use the exact same email address that the Applicant has provided in the application when logging on to Flexi-Grant. If you are already registered on Flexi-Grant please advise the Applicant to use the appropriate email address.

Information required:
You may be asked specific questions related to the application so please log-on and look at the application and the reference/statement of support form before formulating your response. You may be asked about the Fellowship Applicant and/or the UK - based Co - Applicant. You will not be expected to know either or both personally.

Please note: If the Applicant/Co-Applicant for which you will be providing a Head of Department Statement currently holds a fixed-term contract that is due to finish before the end-date of the grant, your statement must include confirmation that their contract is due to be extended and will therefore cover the duration of the award if their application is successful.

Word limits:
Please note that there will be a size limit imposed on the reference; please check your statement is within the limit. The limits refer to words. We recommend that you first type and save the text in a word processor and check the word count before pasting it into Flexi-Grant. If you exceed the specified word count the system will not allow you to save and submit your reference.

Using Flexi-Grant:
The Flexi-Grant system automatically logs you out after periods of inactivity (typing is not considered activity). Therefore, we recommend that you save your work frequently or, preferably, write your reference in a word processor first and then copy the text into Flexi-Grant, using the following instructions:

1. Go to the Flexi-Grant website: https://britishacademy.Flexi-Grant.com
2. Enter your email login. If you have never used Flexi-Grant before, an account will have automatically been generated for you using the email address supplied by the Applicant.
3. Enter your password. If you have forgotten your password, or are using Flexi-Grant for the first time, click the ‘Forgotten Password?’ link and enter your email address (the same one as entered by the Applicant). An email
containing a link to reset your password will be sent to you. Please note that the email link is time limited and will become inactive after 30 minutes of being requested.

4. Click on 'Tasks'
5. Click on ‘Reference List’
6. Click on ‘Provide Reference’ (if you would like to view a PDF of the application first, click ‘Print Application’, or you may view the application form in its original format by clicking on ‘View Application’ on screen at the top of the reference form).
7. Complete all tabs of the reference/statement of support form and click on ‘Save’ at regular intervals as you proceed:
   o References/statements must be written in English. If a reference/statement is not submitted in English, it will not be accepted and may make the application ineligible.

Click on ‘Save’ and then ‘Submit’ (If the ‘Submit’ button does not appear, one of the mandatory fields, indicated with *, has not been completed. Please check all necessary fields are complete and click ‘Save’ again – the ‘Submit’ button should then appear.) When you have successfully submitted your reference/statement, you should get an acknowledgement on the screen, in the top left hand corner.

**Contacting us:**
If you encounter any other problems with accessing the HoD statement form please contact us using the details below.
It is helpful if you can provide the name of the Fellowship Applicant or the UK Co-Applicant, or either of their email addresses; at least one of these will be given in the reference request email.

**Email:** newtonfund@britac.ac.uk
**Tel:** +44 (0)20 7969 5288
Terms & Conditions of Award

PART 1

1. INTRODUCTION

1.1. Part 1 of this Terms & Conditions of Award document sets out the standard terms and conditions for all British Academy awards. Additional terms and conditions specific to the BA Newton Mobility Grants are outlined in Part 2. The Academy’s expectations of host institutions are set out in Appendix 1.

1.2. The Conditions of Award should be read in conjunction with the Award Letter, and the British Academy’s Code of Practice, which together set out the terms and conditions of the award. The Code of Practice is available on the British Academy’s website and may be updated periodically.

1.3. The Host Organisation must ensure that the Award Holder, others supported by the Award and collaborators are made aware of their responsibilities and comply with these Conditions of Award and the Award Letter. Failure to comply with these terms and conditions will lead to termination of the Award and the British Academy reserves the right to recover the grant monies in part or full. For further information, please see Section 12: Compliance.

2. DEFINITIONS

2.1. Award: the award of a British Academy Newton Mobility Grant as specified in the Award Letter.

2.2. Award Letter: the letter from the British Academy to the principal Award holder specifying the value and tenure of the grant that has been awarded.

2.3. Award Holder(s): the person or persons to whom the Newton Mobility Grant has been awarded and who has responsibility for the intellectual leadership and undertaking of the research.

2.4. Award Period: the period of the Award as set out in the Award Letter, commencing on the start date confirmed by the Host Organisation in the manner indicated by the British Academy.

2.5. Data: includes computational or curated data, and data that are produced by an experimental or observational procedure.

2.6. Financial Statement: a form that must be completed by the Host Organisation and submitted to the British Academy that sets out (i) the actual expenditure incurred by the Host Organisation during the Award period on the Award, (ii) the total amount awarded by the British Academy in respect of the Award, and (iii) any additional information that the British Academy requests from the Host Organisation.

2.7. Host Organisation: the University, institution, research council or other body at which some or all of the research funded by the award will be carried out or which employs the Award Holder(s), and which takes responsibility for the management of the research project and the accountability of funds provided. (This is the organisation which approved the submission of the application in the Flexigrant system)

2.8. Intellectual Property: includes all inventions, discoveries, materials, technologies, products, data, algorithms, software, patents, databases, copyright and general knowledge.

2.9. Report: a form on which the Host Organisation reports on the activities undertaken during the award period that must be completed by the Award Holder and submitted to the British Academy.

2.10. Research Misconduct: includes the fabrication, falsification, or plagiarism in proposing, performing, or reviewing research or in reporting results, misrepresentation, mismanagement or inadequate...
preservation of data and/or primary materials, making up data or results and recording and reporting them, such that the research is not accurately represented in the public research record.

2.11. **The British Academy:** the British Academy (a charity registered in England with number 233176)

3. **USE OF GRANT**

3.1. Unless otherwise stated, all awards must be held in a research active institution.

3.2. The Award Holder and Host Organisation must ensure that the Award is used only for the activities specified by the British Academy.

3.3. The Award is to support the research project and related activities described in the application and against which performance will be assessed. If it is proposed to vary the project or programme in any significant way, prior approval must be sought from the British Academy.

3.4. The Award may be used only for eligible items as outlined in the scheme notes, and in accordance with the activities originally requested in the application. If any exceptions have been approved, they will be specified in the Award Letter.

3.5. The Host Organisation must ensure that sufficient resources are provided to support the activities described in the Award Letter. This includes adequate office and laboratory space and access to essential equipment and facilities.

3.6. Expenditure cannot be vired between budget headings without prior written permission from the British Academy.

3.7. Applicants must inform the British Academy of the outcomes of any other applications relating to the project. In cases where simultaneous applications to the British Academy and to another funding agency covering the same elements of a project are both successful, the applicant should decide which of the two awards to accept. Only if there is no duplication of expenditure under any of the budget heads, and no unnecessary inflation of a project, will an applicant be permitted to retain both awards (subsequent requests to alter the plan of research simply to allow the applicant to retain both awards are unlikely to be considered favourably). There is no objection to the applicant holding awards both from the British Academy and from another funding agency to cover separate elements of a project.

3.8. Any items of equipment that may have been exceptionally agreed, and any research resources purchased with the help of a British Academy grant, must be deposited on the expiry of the grant with an institution (usually the recipient’s home institution, or otherwise as agreed with the British Academy). Such items do not become the personal property of the Award Holder.

4. **ACCEPTING THE OFFER AND PAYMENT**

4.1. The Host Organisation will be the financial administrator of the award.

4.2. Awards are paid to the Host Organisation by electronic transfer (BACS).

4.3. The timing of payment is at the British Academy’s discretion but every effort will be made to ensure that funds are released in good time. Please note that it may take up to 28 days for payment transfer to be completed by the British Academy’s Finance Office. The Award Holder must confirm acceptance of these conditions of award as part of the formal acceptance in the Flexigrant system before the grant is released.

4.4. Grants are cash-limited at the value stated in the Award Letter. There is no scope for increasing the level of grant awarded.
4.5. It is the responsibility of award holders to ensure that suitable arrangements have been agreed with the Host Organisation and any other partner institutions, whether in the UK or overseas, for the administration of the Award. This includes arrangements for the transfer of funds, if applicable, before the award begins. The British Academy expects the funds to be administered by institutions in accordance with their normal procedures for managing grant income. The Host Organisation is responsible for accounting to the British Academy for the use of the award.

5. REPORTING AND ACCOUNTS

5.1. The Award Holder must fulfil the financial and non-financial reporting requirements as set by the British Academy. Failure to submit a report that has been deemed as satisfactory by the British Academy can result in the Award being suspended or withdrawn.

5.2. The Host Organisation must ensure proper financial management of the Award and accountability for the use of public and charitable funds, and ensure that formal audit standards and procedures exist for maintaining appropriate anti-fraud and corruption controls in accordance with the Fraud Act (2006). Any fraud associated with the award must be notified to the British Academy immediately.

5.3. Recipients of awards are required to submit an itemised statement of expenditure, signed by the responsible officer in the Host Organisation. If there is an underspend on the grant, the unspent amount should be refunded to the British Academy. The report will be deemed incomplete until the grant has been fully accounted for and any financial reconciliation made.

5.4. Future applications for funding will not be considered unless a satisfactory report and statement of expenditure for the previous grant have been submitted.

5.5. At the end of all awards, Award Holders are required to submit a final report on the work carried out with the aid of the British Academy grant. The final report must be submitted within two months of the end of the Award on the prescribed report form via the Flexigrant system.

5.6. For all awards lasting two or more years, Award Holders are required to submit an interim report for each year on the work carried out with the aid of the British Academy grant, with the exception of the final year. This interim report must be submitted on the prescribed report form and will be reviewed by the British Academy; only after it has been deemed satisfactory will funds for any subsequent years be issued. For the final year, the final report must be submitted as described in 5.5.

5.7. The Host Organisation must complete and return a reconciliation statement within 3 months of the end date of the Award period. The completed final reconciliation statement received by the British Academy represents the final statement of expenditure for the Award. The British Academy is not obliged to make any further payments in respect of the Award once it has received the final statement. The British Academy will reconcile the expenditure incurred against payments made to ensure that any under spend on the Award is returned. Funding cannot be vired between budget headings to cover the cost of an over spend, any overspend must be met by the Host Organisation.

5.8. In the case of independent scholars, who have administered the award personally, receipts for single items over £100 must be provided. If there is an underspend on the grant, the unspent amount should be refunded to the Academy. The report will be deemed incomplete until the grant has been fully accounted for and any financial reconciliation made.

5.9. The British Academy reserves the right to require the Host Organisation to complete and submit a statement of expenditure for a financial year at any time during the course of the Award, or to provide supplementary information in support of an interim or final statement of expenditure.

5.10. In the event that the requested interim or final reports, or statements of expenditure are not submitted, the Host Organisation’s staff or affiliated / visiting researchers will not be able to apply or to be considered for British Academy funding until such reports or statement have been received, and are deemed satisfactory, with any underspend returned.
5.11. If there are exceptional reasons that will prevent submission of the final report within the period allowed, a written request may be made, before the due date passes, for the submission period to be extended.

5.12. All payments may be recovered if the statement is not received within 6 months of the end of the Award.

6. **VARIATION AND TERMINATION**

6.1. The British Academy reserves the right to amend these Conditions of Award, its Code of Practice, and any terms and conditions in the Award Letter. Any changes to the Conditions of Award or Award Letter will be notified to the Award Holder in writing, and any changes to the Code of Practice will be notified on the British Academy’s website.

6.2. Work must commence on the start date as specified in the application and confirmed with the offer of award. Formal approval will be required if it is proposed to defer the start date which must still be within the start period stated in the Scheme Notes. The British Academy should be notified of any delay.

6.3. Under exceptional circumstances, no-cost extensions may be requested. Extensions must be requested before the due end date of the Award, giving sufficient reason for the request. Requests for no-cost extensions received after the due end date of the Award will not be considered. If an extension is approved, the final report should be submitted as soon as the British Academy-funded phase of research is completed, within the agreed timescale.

6.4. The Award Holder or the Host Organisation must inform the British Academy without delay of any change to the status of the Award Holder, Co-applicant, or the Host Organisation that might affect their ability to comply with these Conditions of Award. This includes contracts of employment which must continue at least for the duration of the Award or any cessation and event or circumstance that is likely to affect the overall delivery of the Award.

6.5. The Award Holder must inform the British Academy as soon as practicable of any significant divergence from the original aims and directions of the research project that is being funded by the Award, or any cessation and event or circumstance that is likely to affect the overall delivery of the project.

6.6. The British Academy reserves the right to terminate or suspend the Award at any time, subject to reasonable notice (normally 3 months). In the event that the British Academy’s public funding is reduced or withdrawn by UK Government or if the British Academy should enter into administration, the British Academy reserves the right to terminate any awards with no liability for any further Fellowship or Award payments. The Host Organisation will fully indemnify the British Academy in respect of any claims brought against the British Academy in this regard.

7. **PUBLICATIONS**

7.1. Due acknowledgement of support received from the British Academy should be made in any publication resulting from the research, whether an article, a book, or any other form of output. One copy of any book should be sent to the British Academy, where it will be catalogued, and placed in the British Academy’s Library. The Award Holder is required to provide the British Academy with full bibliographical information on any other published outputs resulting from the award.

7.2. The Award Holder is expected to follow acknowledged good practice when publishing the results of their research as detailed in guidelines issued by, for example, the [Committee on Publication Ethics](https://publicationethics.org/), the [Council of Science Editors](http://www.cse.org/) and the [ARRIVE guidelines](https://arriveguidelines.org/).
8. OTHER DISSEMINATION

8.1. For projects whose primary purpose, or significant product, is the creation of a digital resource, data created as a result of the research, together with documentation, should be offered for deposit in an appropriately accessible repository within a reasonable time after the completion of the project.

8.2. In cases where the principal output from the project is the creation of a digital resource, applicants should be aware that details of the project, and contact details of the principal applicant, may be passed to the relevant national Data Services providers for evaluation or contact purposes.

8.3. The Award Holder is required to notify the British Academy of any impact resulting from their research. Impact can mean making a significant contribution to an academic field and/or to policy and practice decision-making. This could be an impact in economic terms, affecting the profits of firms or the revenue of government, or in terms of having an impact on less quantifiable areas such as the environment, public health or quality of life.

8.4. The Award Holder must make all reasonable efforts, if so invited, to respond to requests for information or to attend events or activities organised by the British Academy concerning the research undertaken. Such events may be held after a grant has ended.

8.5. The Host Organisation must ensure that it obtains the prior approval of the British Academy on any press statements associated with the Award.

8.6. Due acknowledgement of support received from the British Academy should be made in any form of media communication, including media appearances, press releases and conferences. The contents of any press releases or announcements associated with the Award should be agreed by the British Academy first to ensure they comply with any current publicity requirements (including any branding guidelines).

9. RESEARCH ETHICS, POLICIES, AND LEGAL

9.1. The British Academy will not become an employer of the Award Holder or any other third party as a result of the provision of this Award. In all cases where support is provided by the Award for the employment of staff, the Host Organisation must issue a contract of employment which is in compliance with relevant laws and regulations.

9.2. The Award Holder and Host Organisation must ensure that the Award is managed in a way which is compliant with the terms of the (UK) Equality Act 2010.

9.3. The British Academy requires the research it funds to be conducted in an ethical and legal manner. The Host Organisation is responsible for ensuring that ethical issues relating to the research project are identified and brought to the attention of the relevant approval or regulatory body. Ethical approval to undertake the research must be granted by the relevant authority before any work requiring approval begins. Research undertaken outside the UK must have both UK and respective country ethical approvals. The Host Organisation must ensure that all legal requirements related to the research are met.

9.4. The Host Organisation should meet the requirements of the Concordat to Support Research Integrity (2012) and subsequent amendments, and must have in place formal procedures for governing good research practice and for handling and reporting allegations of fraud or research misconduct.

9.5. The Host Organisation is expected to adopt the principles, standards and good practice for the management of research staff set out in the Concordat to Support the Career Development of
9.6. The Host Organisation is responsible for ensuring that a safe working environment is provided for all individuals associated with a research project, and must meet all regulatory and legislative requirements as recommended by the Health and Safety Executive, and will include appropriate care where researchers are working off-site.

9.7. It is the responsibility of the Host Organisation and Award Holder to ensure that appropriate insurance is obtained for any individual employed on the Award, including students, who intend to undertake Overseas Travel, Fieldwork, Secondments or Sabbaticals during the Award period.

9.8. The Award Holder is expected to comply with the Host Organisation guidelines on overseas travel and safety in fieldwork, particularly for high risk countries. The British Academy will not be held liable for the health, safety and security of award holders or individuals employed on an award.

9.9. The Host Organisation and the Award Holder must ensure that research involving the use of animals falls within the regulations laid down in the UK Animals (Scientific Procedures) Act 1986 and subsequent amendments. Any element of research funded by the Award that is conducted outside the UK must, as a minimum standard, be conducted in accordance with the principles of UK legislation.

9.10. In the case of research involving the use of animals, the Award Holder must inform the British Academy that animals will be used in the research and provide the following details, the number of animals, the species and procedures involving animals. The Award Holder must also inform the British Academy as soon as possible of any significant increase to the number of animals used or a change in the species from that which was originally supported by the Award.

9.11. The British Academy endorses the principle of the NC3Rs (replace, refine and reduce) which means that every effort must be made to replace the use of live animals with non-animal alternatives; to reduce the number of animals used in research; and refine procedures so that the degree of suffering for animals is kept to an absolute minimum.

9.12. The British Academy accepts no responsibility for costs or liabilities arising from the research funded by the Award, other than those set out in these Conditions of Award, or otherwise agreed in writing.

9.13. The Host Organisation, any Award Holder or any other person working on the Award (including but not limited to employees, students, visiting fellows and subcontractors) will indemnify the British Academy against any claims for compensation or against any other claims (whether under any statute or regulation or at common law) for which the Host Organisation may be liable as an employer or otherwise or for which any other person working on the Award may be liable.

9.14. These Conditions of Award will be governed by the laws of England and Wales. All matters relating to the terms and conditions will be subject to the exclusive jurisdiction of the courts of England and Wales.

9.15. If any provision of these terms and conditions is found by a court or other legitimate body to be illegal, invalid or unreasonable, it will not affect the remaining terms and conditions which will continue in force.

10. INTELLECTUAL PROPERTY

10.1. Unless stated otherwise, the ownership of British Academy-funded intellectual property (IP), and responsibility for its identification, protection, management and exploitation, rests with the Host Organisation.
10.2. It is the responsibility of the Host Organisation, and all engaged in the research, to make every effort to ensure that any potentially valuable results obtained in the course of the research are exploited, whether protected by IP rights or not, and used to the benefit of society and the economy.

10.3. Where the Award is associated with more than one research organisation and/or project partner, a formal collaborative agreement must be established, which sets out the contributions and ownership rights of the organisations and individuals involved with regards to intellectual property and exploitation. It is the responsibility of the Host Organisation to put such an agreement in place before the Award commences. The terms of the collaborative agreement must not conflict with the British Academy’s Conditions of Award.

10.4. The collaborative agreement must set out that the Host Organisation(s) is not restricted in its future research capability, that all applications of the intellectual property are developed in a timely manner and that the substantive results of the research are published within an agreed and reasonable period.

11. **DATA PROTECTION**

11.1. The British Academy is registered under the Data Protection Act 1998 (Registration Number: K4009399) and adheres to the Data Protection Principles.

11.2. Successful applicants should be aware that the information they provide on the application form and in subsequent reports will be used by the British Academy for processing the application, making any consequential award, for the payment, monitoring and review of the award, and for general British Academy business. This information may be shared with relevant funding partners, including but not limited to the Department for Business, Innovation and Skills, the Foreign and Commonwealth Office, and the Department for International Development.

11.3. Information will also be shared with relevant officers in the Host Organisation for the award procedures to be followed.

11.4. Details of award holders (including name, institution, project details and amount of award) will be used to compile published lists of award holders which will be made available on the Internet, and to produce statistical and historical information on British Academy awards.

11.5. Queries submitted under the terms of the Data Protection Act about the processing of personal data should be addressed to the British Academy's Data Protection Officer. Submitting the online application form constitutes the applicant's agreement to all terms, conditions, and notices contained in the Scheme Notes.

11.6. Application forms will be retained for ten years in the case of successful applications, and may be consulted by the British Academy in the event of future applications being submitted.

12. **COMPLIANCE**

12.1. The British Academy reserves the right upon reasonable notice to inspect the award at any time and to require further information to be supplied as seen fit. Such further information may include but is not limited to financial records and financial procedures associated with the Award, or to appoint any other body or individual for the purpose of such inspection.

12.2. Persistent failure to comply with the conditions of award will result in the British Academy writing to the Vice-Chancellor or equivalent senior official of the Host Organisation and lead to the possibility of sanctions.

12.3. The sanction for non-compliance with the conditions of award is penalty-listing: that is, to disbar, sine die, the scholar concerned from applying to the British Academy under any of its grant-giving
schemes; and to disbar, for a period of two years, all members of that institution from applying to the British Academy under any of its grant-giving schemes.
PART 2

SCHEME-SPECIFIC CONDITIONS

BRITISH ACADEMY NEWTON MOBILITY GRANTS

These Conditions of Award set out the standard terms and conditions for the British Academy Newton Mobility Grants. These awards are funded by the Newton Fund, which is part of the UK's Official Development Assistance (ODA) commitment. The Conditions of Award should be read in conjunction with the Award Letter, and the British Academy’s Code of Practice, which together set out the terms and conditions of the Award. The Code of Practice is available on the British Academy’s website and may be updated periodically.

The UK Host Organisation must ensure that the Award Holder, others supported by the Award and collaborators are made aware of their responsibilities and comply with these Conditions of Award and the Award Letter. Failure to comply with these terms and conditions will lead to termination of the Award and the British Academy reserves the right to recover the grant monies in part or full.

1. Use of Grant

1.1. The Newton Fund is part of the UK’s Official Development Assistance (ODA). Its aim is to develop science and innovation partnerships that promote the economic development and welfare of collaborating countries. The Award Holder must ensure the activity that is undertaken as part of this grant is compliant with ODA rules and regulations as set out under the Newton Fund programme.

1.2. The Award must be used for the purposes of training overseas researchers and developing Newton Fund Country research capacity through collaboration. Funding may not be used to support the training of UK based researchers.

1.3. The Award Holder is expected to comply with the Host Organisation guidelines on Overseas Travel and Safety in Fieldwork, particularly for high risk countries. The British Academy will not be held liable for the health, safety and security of award holders or individuals employed on an award.

2. Accepting the Offer and Payment of Grant

2.1. The Overseas Award Holder will be the Principal applicant and the award will be administered by the UK Host Organisation (that is the UK-based Co-applicant’s institution).

2.2. The UK Host Organisation must ensure proper financial management of the Award and accountability for the use of public and charitable funds, and ensure that formal audit standards and procedures exist for maintaining appropriate anti-fraud and corruption controls in accordance with the Fraud Act (2006). Any fraud associated with the award must be notified to the British Academy immediately.

3. Publications

3.1. Due acknowledgement of support received from the British Academy and the Newton Fund should be made in any publication resulting from the research, whether an article, a book, or any other form of output. One copy of any book should be sent to the British Academy, where it will be catalogued, and placed in the British Academy’s Library. The Award Holder is required to provide the British Academy with full bibliographical information on any other published outputs resulting from the award.

4. Other Dissemination

4.1. Due acknowledgement of support received from the British Academy and the Newton Fund should be made in any form of media communication, including media appearances, press releases and
conferences. The contents of any press releases or announcements associated with the Award should be agreed by the British Academy first to ensure they comply with any current publicity requirements (including any branding guidelines).